IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Application of:

ROBERT G. HEITEL

Application No.: 09/843,044

Filed: April 25, 2001

For:

AUTOMATIC LASER WELD MACHINE FOR ASSEMBLING PHOTONIC COMPONENTS

Examiner: Unknown

Art Group: 3627

SEP 19 2001

70 3600 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231-9999

Sir:

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56, and in accordance with 37 C.F.R. §§1.97-1.98, Applicant submits the enclosed Form PTO/SB/08A (Modified), along with copies of the documents listed therein.

It is hereby stated that this Information Disclosure Statement is being filed before the mailing of an Office Action. Applicant does not believe that a fee is required. However, if any fee is required, please charge Deposit Account No. 09-0946. An extra copy of the Fee Transmittal is enclosed for Deposit Account charging purposes.

Respectfully submitted,

IRELL & MANELLA LLP

Dated: September 10, 2001

840 Newport Center Drive, Suite 400

Newport Beach, CA 92660

(949) 760-0991

Babak Redjaian

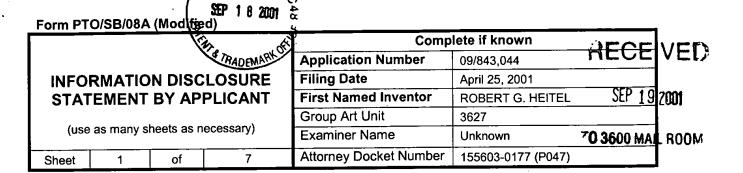
Reg. No. 42,096

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

09/10/2001

Date



			<u>U.S. I</u>	PATENT DOCUMENT	13	T
Examiner Initials	Cite No.	U.S. Patent Docur	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	6,198,580		Dallakian	03/06/2001	
	2.	6,209,841		Houghton, Jr. et al.	04/03/2001	
	3.	5,946,023		Blanding	08/31/1999	
	4.	5,963,695		Joyce	10/05/1999	
	5.	6,016,230		Nunnally et al.	01/18/2000	
•	6.	5,909,324		Bryant et al.	06/01/1999	
	7.	5,941,920		Schubert	08/24/1999	
	8.	5,852,519		Do et al.	12/22/1998	
	9.	5,880,894		Blakley	03/09/1999	
	10.	5,794,912		Whittaker et al.	08/18/1998	
	11.	5,745,633		Giebel et al.	04/28/1998	
	12.	5,930,057		Sechrist et al.	07/27/1999	
	13.	5,757,561		Sechrist et al.	05/26/1998	
	14.	5,765,800		Watanabe et al.	06/16/1998	
	15.	6,022,005		Gran et al.	02/08/2000	
	16.	5,825,558		Farmiga et al.	10/20/1998	
	17.	5,774,614		Gilliland et al.	06/30/1998	
	18.	5,748,821		Schempp et al.	05/05/1998	
	19.	5,761,360		Grois et al.	06/02/1998	
	20.	5,668,906		Yamamura et al.	09/16/1997	
	21.	5,737,132		Luecke et al.	04/07/1998	
	22.	5,717,804		Pan et al.	02/10/1998	
	23.	5,653,317		Wakui	08/05/1997	
	24.	5,751,877		Ishizaka et al.	05/12/1998	
	25.	5,655,045		Morlion et al.	08/05/1997	
	26.	5,619,609		Pan et al.	04/08/1997	
	27.	5,598,500		Crespel et al.	01/28/1997	
	28.	5,673,350		Song et al.	09/30/1997	
	29.	5,570,444		Janssen et al.	10/29/1996	
	30.	5,689,607		Vincent et al.	11/18/1997	
	31.	5,603,387		Beard et al.	02/18/1997	
	32.	5,725,066	†	Beard et al.	03/10/1998	
	33.	5,638,482	†	Winterhoff et al.	06/10/1997	
	34.	5,553,186		Allen	09/03/1996	
	35.	5,758,004	 	Alarcon et al.	05/26/1998	

Examiner:	Date Considered:	

Form PTO/SB/08A (Mod fiedsp 1 8 2001

		/2	<u> </u>	Comp	lete if known
		6	En. 1945	Application Number	09/843,044
INFO	RMATIC	N DISC	LOSURE REPLY	Filing Date	April 25, 2001
STAT	STATEMENT BY APPLICANT			First Named Inventor	ROBERT G. HEITEL
(use as many sheets as necessary)				Group Art Unit	3627
			necessary)	Examiner Name	Unknown
Sheet	2	of	7	Attorney Docket Number	155603-0177 (P047)

36.	5,617,501	Miller et al.	04/01/1997	
37.	5,812,958	Mayama	09/22/1998	
38.	5,793,921	Wilkins et al.	08/11/1998	
39.	5,793,920	Wilkins et al.	08/11/1998	
40.	5,530,785	Sakamoto et al.	06/25/1996	
41.	5,530,547	Arnold	06/25/1996	
42.	5,581,077	Chirovsky et al.	12/03/1996	
43.	5,434,945	Burek et al.	07/18/1995	
44.	5,638,267	Singhose et al.	06/10/1997	
45.	5,428,225	Silva et al.	06/27/1995	
46.	5,660,255	Schubert et al.	08/26/1997	
47.	5,823,307	Schubert et al.	10/20/1998	
48.	5,564,537	Shoureshi	10/15/1996	
49.	5,410,145	Coroy	04/25/1995	
50.	5,446,519	Makinouchi	08/29/1995	
51.	5,428,635	Zhiglinsky et al.	06/27/1995	
52.	5,367,140	Jonaneh et al.	11/22/1994	
53.	5,502,598	Kimura et al.	03/26/1996	
54.	5,563,972	Krausse et al.	10/08/1996	RECEIVED
55.	5,337,383	DeAngelis et al.	08/09/1994	
56.	5,528,718	Ray et al.	06/18/1996	
57.	5,463,647	Pan	10/31/1995	SEP 19 ZUU
58.	5,499,261	Welch et al.	03/12/1996	
59.	5,469,265	Measures et al.	11/21/1995	70 3600 MAIL ROOM
60.	5,311,278	Rosencher	05/10/1994	אולאו זייינע ממפיב מ
61.	5,251,863	Gossman et al.	10/12/1993	
62.	5,300,755	Nishitani et al.	04/05/1994	
63.	5,327,061	Gullapalli	07/05/1994	
64.	5,434,944	Kerry et al.	07/18/1995	
65.	5,285,995	Gonzalez et al.	02/15/1994	
66.	5,218,610	Dixon	06/08/1993	
67.	5,214,735	Henneberger et al.	05/25/1993	
68.	5,321,539	Hirabayashi et al.	06/14/1994	
69.	5,189,725	Bensel, III et al.	02/23/1993	
70.	5,191,587	Hanson et al.	03/02/1993	
71.	5,183,350	Kramer	02/02/1993	
72.	5,289,559	Wilson	02/22/1994	
73.	5,172,160	Van Eijk et al.	12/15/1992	
74.	5,219,051	Davis	06/15/1993	
75.	5,194,993	Bedzyk	03/16/1993	
76.	5,319,435	Melle et al.	06/07/1994	

Examiner:	 Date Considered:	

SEP 1 8 2001 &

			(FL)	Comp	lete if known
•		•	TRADEN	Application Number	09/843,044
INFORMATION DISCLOSURE			LOSURE	Filing Date	April 25, 2001
STAT	STATEMENT BY APPLICANT			First Named Inventor	ROBERT G. HEITEL
(use as many sheets as necessary)				Group Art Unit	3627
			necessary)	Examiner Name	Unknown
Sheet	3	of	7	Attorney Docket Number	155603-0177 (P047)

	77.	5,218,258	Shirasu et al.	06/08/1993	
	78.	5,138,496	Pong	08/11/1992	
	79.	5,140,470	Luecke	08/18/1992	
	80.	5,146,532	Hodge	09/08/1992	
	81.	5,132,824	Patel et al.	07/21/1992	
	82.	5,150,236	Patel	09/22/1992	
	83.	5,068,749	Patel	11/26/1991	
	84.	5,044,719	Nakamura	09/03/1991	
	85.	5,179,618	Anton	01/12/1993	
	86.	5,000,415	Sandercock	03/19/1991	
	87.	5,077,747	Hemmer et al.	12/31/1991	
	88.	4,978,910	Knox et al.	12/18/1990	
	89.	4,966,474	Geiger	10/30/1990	
	90.	4,988,165	Ishii et al.	01/29/1991	
	91.	5,058,124	Cameron et al.	10/15/1991	
	92.	5,062,012	Maeda et al.	10/29/1991	
	93.	4,914,867	Saito et al.	04/10/1990	
	94.	4,915,482	Collins et al.	04/10/1990	
	95.	4,854,667	Ebata et al.	08/08/1989	
	96.	4,837,768	Schmid	06/06/1989	
	97.	4,913,527	Jessop	04/03/1990	
	98.	4,916,635	Singer et al.	04/10/1990	
	99.	4,988,159	Turner et al.	01/29/1991	
	100.	4,850,671	Finzel	07/25/1989	RECEIVED
	101.	4,800,262	Lentine	01/24/1989	
	102.	4,842,397	Eisler	06/27/1989	
	103.	4,823,220	Milster et al.	04/18/1989	SEP 19 2001
	104.	4,807,750	Ryder et al.	02/28/1989	
	105.	4,782,223	Suzuki	11/01/1988	10 3600 MAIL ROOM
	106.	4,779,959	Saunders	10/25/1988	O 3000 MINIT HOOM
-	107.	4,747,657	Chaoui et al.	05/31/1988	
	108.	4,938,564	Romero	07/03/1990	
	109.	4,787,691	Lorenzo et al.	11/29/1988	
	110.	4,763,979	Heywang	08/16/1988	
	111.	4,850,261	Greene	07/25/1989	
	112.	4,678,271	Beaulieu	07/07/1987	
1	113.	4,779,946	Pimpinella et al.	10/25/1988	
	114.	4,657,429	Morris	04/14/1987	
	115.	4,701,013	Jurczyszyn et al.	10/20/1987	
	116.	4,679,908	Goodwin	07/14/1987	
	117.	4,714,315	Krause	12/22/1987	

Examiner:	Date Considered:	

O 1 P & VC 4 &

· ·		Comp	Complete if known				
			& TRAI	Ambalication Number	09/843,044		
INFO	RMATIC	N DISC	LOSURE	Filing Date	April 25, 2001	SEP 1	9 2001
STAT	EMENT	BY AP	PLICANT	First Named Inventor	ROBERT G. HEITEL		0 2001
			,	Group Art Unit	3627	~0 3600 M	AII BOOM
(use as many sheets as necessary)		Examiner Name	Unknown	O 2000 W	AIL NOUN		
Sheet	4	of	7	Attorney Docket Number	155603-0177 (P047)		

			T	
118.	4,708,429	Clark et al.	11/24/1987	
119.	4,720,163	Goodwin et al.	01/19/1988	
120.	4,759,600	Caron et al.	07/26/1988	
121.	4,677,290	Mitch	06/30/1987	
122.	4,746,195	Auracher et al.	05/24/1988	
123.	4,702,556	Ishii et al.	10/27/1987	
124.	4,623,220	Grabbe et al.	11/18/1986	
125.	4,673,245	Kling et al.	06/16/1987	
126.	4,767,174	Carenco et al.	08/30/1988	
127.	4,673,244	Miles	06/16/1987	
128.	4,773,730	Sedlmayr	09/27/1988	
129.	4,647,147	Pikulski et al.	03/03/1987	
130.	4,748,632	Preston	05/31/1988	
131.	4,647,331	Koury, Jr. et al.	03/03/1987	
132.	4,615,031	Eales et al.	09/30/1986	
133.	4,506,108	Kersch et al.	03/19/1985	
134.	4,525,659	Imahashi et al.	06/25/1985	
135.	4,469,929	Rosen et al.	09/04/1984	
136.	4,479,698	Landis et al.	10/30/1984	
137.	4,550,410	Chenausky et al.	10/29/1985	
138.	4,500,165	Scholl et al.	02/19/1985	
139.	4,523,802	Sakaguchi et al.	06/18/1985	
140.	4,523,810	Goss et al.	06/18/1985	
141.	4,469,399	Cowen et al.	09/04/1984	
142.	4,435,037	Abramson et al.	03/06/1984	
143.	4,387,956	Cline	06/14/1983	
144.	4,664,732	Campbell et al.	05/12/1987	
145.	4,355,323	Kock	10/19/1982	
146.	4,350,867	Kinoshita et al.	09/21/1982	
147.	4,332,469	Wendland	06/01/1982	
148.	4.296,998	Dufft	10/27/1981	
149.	4,316,678	F'Geppert	02/23/1982	
150.	4,295,152	Khoe et al.	10/13/1981	
151.	4,268,113	Noel, Jr.	05/19/1981	
152.	4,403,243	Hakamada	09/06/1983	
153.	4,237,474	Ladany	12/02/1980	
154.	4,167,744	Nyul	09/11/1979	
155.	4,357,072	Goodfellow et al.	11/02/1982	
156.	4,164,363	Hsu	08/14/1979	
157.	4,199,222	Ikushima et al.	04/22/1980	
158.	4,144,504	Leggett et al.	03/13/1979	

	Data Campidanadi	
Examiner:	 Date Considered:	

Form PTO/SB/08A (Modified

			E	Comp	lete if known
			The Dunch	Application Number	09/843,044
INFO	RMATIO	N DISC	LOSURE	Filing Date	April 25, 2001
STAT	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	ROBERT G. HEITEL
				Group Art Unit	3627
(use as many sheets as necessary)			ecessary)	Examiner Name	Unknown
Sheet	5	of	7	Attorney Docket Number	155603-0177 (P047)

	159.	4,079,404	 Comerford et al.	03/14/1978		
	160.	4,088,396	Edelstein	05/09/1978		
	161.	4,030,811	 Khoe et al.	06/21/1977		
	162.	4,119,363	 Camlibel et al.	10/10/1978		
	163.	4,065,203	Goell et al.	12/27/1977	→ ⊀ECE	ハード
	164.	3,917,201	Roll	11/04/1975	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1 4 1-17
	165.	3,945,246	Wadensten	03/23/1976		
	166.	3,751,025	 Beery et al.	08/07/1973	SEP 19	2001
	167.	3,667,525	 Spieth	06/06/1972		7001
	168.	3,620,558	 Daniel G. MacMillan	11/16/1971		
	169.	3,578,278	 Norman C. Pickering	05/11/1971	**O 3600 MA	L ROOM
	170.	3,577,791	 Jan Abraham Vanden Broek	05/04/1971		
	171.	3,601,476	 Donald R. MacKenzie	08/24/1971		
	172.	3,565,515	 C.F. DE MEY II	02/23/1971		
	173.	3,460,786	 E. I. RIVIN	08/12/1969		
·	174.	3,533,012	 W. M. JOHNSON ET AL.	10/06/1970		
	175.	3,478,608	 V. MET	11/18/1969		
<u> </u>	176.	3,442,475	E. I. RIVIN	05/06/1969		
	177.	3,357,268	 T.L. RICHTER	12/12/1967		
	178.	2,367,139	T.I. RESS	01/09/1945		
	179.	1,306,906	F. O. JAQUES, SR.	06/17/1919		
	180.	1,143,165	F. BEGUSCH	06/15/1915		

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication	Pages, Columns,	
Initials	No.	Office	Number	Kind Code	Applicant of Cited Document	of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear	
	181.		JP 10144601	1	Ebihara Akimitsu	11/14/1996		
	182.		JP 09330875		Mori Futoshi	06/07/1996		
	183.	† – †	JP 08088167		Makita Yoshinori	09/02/1994		
	184.	1	JP 03021894		Komiyama Shigeru	01/30/1991		
	185.	1	CA 2,199,423		SONG, Ike J. et al.	03/07/1996		
	186.		GB 2 131 971 A		Ronald Bicknell	06/27/1984		
	187.		GB 2 124 402 A		Brian Arthur Eales et al.	07/27/1982		

Examiner:	 Date Considered:	

Form PTO/SB/08A (Modified) SEP 1 8 2001

			(B)	Comp	olete if known	
			The manual	pplication Number Filing Date First Named Inventor	09/843,044	31-0-
INFO	RMATIC	ON DISC	CLOSURE	Filing Date	April 25, 2001	HECEV
STAT	EMENT	T BY AP	PLICANT	First Named Inventor	ROBERT G. HEITEL	
		-14	\	Group Art Unit	3627	SEP 1.9 pm
(use	as many s	sneets as i	necessary)	Examiner Name	Unknown	100
Sheet	6	of	7	Attorney Docket Number	155603-0177 (P047)	70 3600 MAII RO

OTH	IER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
188.	J. M. Kahn, C. A. Burrus, and G. Raybon, "High-Stability 1.5 um External-Cavity Semiconductor Lasers for Phase-Lock Applications, Photonics Technology Letters, Vol. 1. No. 7, July 1989.
189.	P. Zorabedian and W. R. Trutna, Jr., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", 1988, Optical Society of America.
190.	A. Schremer and C. L. Tang, "Single-Frequency tunable external-cavity semiconductor laser using an electro-optic birefringent modulator", Appl. Phys. Lett., Vol. 55, No. 1, 3 July 1989.
191.	M. W. Maeda, J. S. Patel, D. A. Smith, Chinlon Lin, M. A. Saifi, and A. Von Lehman, "An Electronically Tunable Fiber Laser with a Liquid-Crystal Etalon Filter as the Wavelength-Tuning Element", IEEE Photonics Technology Letters, Vol. 2, No. 11, November 1990.
192.	Katsuhiko Hirabayashi, Hiroyuki Tsuda, and Takashi Kurokawa, "Tunable Liquid-Crystal Fabry-Perol Interferometer Filter for Wavelength-Division Multiplexing Communication Systems", Journal of Lightwave Technology, Vol. 11, No. 12, December 1993.
193.	Stephen R. Mallinson, "Wavelength-selective filters for single-mode fiber WDM systems using Fabry-Perot interferometers", APPLIED OPTICS, Vol. 26, No. 3, 1 February 1987.
194.	W. Gunning, J. Pasko, J. Tracy, "A liquid crystal tunable spectral filter: visible and infrared operation", SPIE Vol. 268 Imaging Spectroscopy (1981).
195.	Hiroyuki Tsuda, Katsuhiko Hirabayashi, Yuichi Tohmori, and Takashi Kurokawa, "Tunable Light Source Using a Liquid-Crystal Fabry-Perot Interferometer", IEEE Photonics Technology Letters, Vol. 3. No. 6. June 1991.
196.	John R. Andrews, "Low Voltage Wavelength Tuning of an External Cavity Diode Laser Using a Nematic Liquid Crystal-Containing Birefringent Filert", IEEE Photonics Technology Letters. Vol. 2, No. 5, May 1990.
197.	N. A. Olsson and J. P. Van Der Ziel, "Performance Characteristics of 1.5-um External Cavity Semiconductor Lasers for Coherent Optical Communication", Journal Of Lightwave Technology, Vol. LT-5. No. 4, April 1987.
198.	Hecht Optics Second Edition, Eugene Hecht, reprinted 1990, reference text, pgs 303 # 368.
199.	RIVIN, EUGENE I., "Vibration isolation of precision equipment", Precision Engineering, 1995, Pgs. 41-56, Vol. 17
200.	"Marsh Mellow Springs Vibration Isolation Design Manual", 1998, Firestone Industrial Products Company

		=·	 	
Examiner:	•		Data Canaldanada	
LAGIIIIII ei .	•		Date Considered:	

Form PTO/SB/08A (Modified)

SEP 18 2001

			PET.	Comp	olete if known
			TRADE	Comp Application Number Filing Date	09/843,044
					April 25, 2001
STATEMENT BY APPLICANT				First Named Inventor	ROBERT G. HEITEL
luse	as many sl	haate se r	acceson/)	Group Art Unit	3627
	ao many si		ecessary)	Examiner Name	Unknown
Sheet	7	of	7	Attorney Docket Number	155603-0177 (P047)

201.	RIVIN, EUGENE I., "Shaped Elastomeric Components for Vibration Control Devices", Sound and Vibration, July 1999, Pgs. 18-23, Vol. 33, No. 7
------	---

RECEIVED

SEP 19 2001

TO 3600 MAIL ROOM